

Allegations (of abuse) against Staff and Volunteers

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Vision

We put children first, pioneering excellence and championing each and every child.

This policy should be read in conjunction with the Trust's disciplinary policy, staff code of conduct and its policy for whistle blowing, which include additional procedures relating to allegations against staff which relate to matters of professional misconduct and which are unrelated to matters of abuse towards pupils.

In the event that an internal investigation is required, the procedures for investigation identified within the Trust's disciplinary process will be adopted. In circumstances where the involvement of external agencies is required for the purposes of the investigation, advice will be sought from the Local Authority's Designated Officer (LADO), and the appropriate procedures will be incorporated in order to facilitate the external investigation. However, this policy sets out the general principles to which the Trust will adhere in situations where an allegation against a member of staff has been made.

Background

Given their contact with young people, members of staff can be vulnerable to allegations of abuse and therefore it is particularly important that staff remain continually aware of behaviour that is acceptable and behaviour that may leave them vulnerable. Such matters are addressed within the Trust's Safeguarding Policy and included within the Trust's induction and training programmes.

The Pioneer Academy recognises its collective responsibility to safeguard and protect the welfare of children and young people in accordance with its statutory responsibilities including, but not limited to:

- *The Children Act 1989*
- *The Education Act 2002*
- *The Children Act 2004*
- *Data Protection Act 2018*
- *The UK General Data Protection Regulation*
- *DfE 2025 'Keeping Children Safe in Education'*
- *HM Government 2023 'Working Together to Safeguard Children'*

This policy provides details of the procedures to be followed in circumstances where an allegation has been made against a member of staff working within the Trust, whether in a paid or voluntary position, including a member of staff who works with pupils on a temporary or supply basis.

Procedures will be conducted in accordance with the statutory guidance from the DFE <https://www.gov.uk/government/publications/allegations-of-abuse-against-teachers-and-non-teaching-staff> the guidance provided by the relevant Local Safeguarding Children Partnership and with regard to the appropriate legislation.

Reporting an allegation; safeguarding responsibilities and general principles

An allegation should be reported immediately to the respective school's Head Teacher or, where the Head Teacher is the subject of the allegation, the Chair of the Trust Board. An allegation may relate to a concern that a member of staff has:

- Behaved in a way that has harmed a child, or may have harmed a child, or
- Possibly committed a criminal offence against or related to a child, or
- Behaved towards a child or children in a way that indicates they are unsuitable to work with children.
- behaved or may have behaved in a way that indicates they may not be suitable to work with children.

Where an allegation of abuse towards a pupil has been made against a member of staff, the Trust Board must recognise the requirement for the sharing of information in accordance with DFE guidance, because the need to promote the welfare and protect the safety of the pupils is paramount.

The relevant Local Safeguarding Children Partnerships holds statutory functions which include developing local safeguarding policy and procedures, scrutinising local arrangements and the investigation of allegations concerning persons who work with children.

Should an allegation be made against a member of staff, the school should immediately notify relevant local authority LADO for child protection. The allegation must be reported in accordance with the procedures identified below and the initial contact with the LADO will be made by the school's Designated Safeguarding Lead, the Head Teacher or the Chair of the Trust Board.

The LADO will provide oversight of the individual case, advising and providing guidance to the school in specific matters, including liaising with the police where necessary. The LADO must be informed within one working day of all allegations meeting the threshold, even if the school has not yet gathered all details.

Determining the outcome of an investigation into an allegation

In determining the outcome of an investigation into an allegation, the following definitions will be used in accordance with DFE guidance.

- Substantiated: there is sufficient identifiable evidence to prove the allegation.
- False: there is sufficient evidence to disprove the allegation.

- **Malicious:** there is clear evidence to prove there has been a deliberate act to deceive and the allegation is entirely false.
- **Unfounded:** there is no evidence or proper basis which supports the allegation being made. It might also indicate that the person making the allegation misinterpreted the incident or was mistaken about what they saw. Alternatively, they may not have been aware of all the circumstances.
- **Unsubstantiated:** this is not the same as a false allegation. It means that there is insufficient evidence to prove or disprove the allegation. The term, therefore, does not imply guilt or innocence.

Full details of a substantiated allegation, how it was followed up and resolved, and a summary of the action taken and decisions reached will be retained on the confidential personnel file of the member of staff, and they will be provided with a copy.

The procedure

The Trust Board takes seriously its responsibilities of duty of care towards its employees. The process will be managed in such a way as to minimise the stress inherent in such circumstances, and appropriate levels of support will be discussed and agreed with any member of staff who is the subject of an allegation, and their representative where applicable.

It is essential that any allegation of abuse made against a teacher or other member of staff or volunteer in the Trust is dealt with very quickly, in a fair and consistent way, to ensure effective protection for the child and at the same time supports the person who is the subject of the allegation.

In the event that an allegation against a member of staff is made, priority will be given to ensuring that a resolution to the allegation is reached as soon as possible. The procedures will be applied in a fair and consistent manner and in such a way as to protect the pupil.

The timeframe for bringing the matter to a conclusion will depend upon the nature, seriousness and complexity of the allegation, however the Trust Board will aim to reach a resolution within one month wherever this is possible.

Initial considerations

The procedures adopted in relation to allegations made will be applied using common sense, reasonableness and judgement. It is recognised by the DFE that many cases 'may not meet the criteria (set out above), or may do so without warranting consideration of either a police investigation or enquiries by local authority (LA) children's social care services'. Under such circumstances, the Trust Board will adopt the appropriate procedures set out within its disciplinary policy in order to bring the matter to a conclusion as soon as possible.

In circumstances where the allegations made are serious, they will require immediate intervention by the police. The LADO will be informed immediately and advice will be sought regarding contact with the appropriate local agencies.

The school will immediately appoint a case officer, normally the school's Headteacher to handle matters in connection with the investigation into the allegation. There will be relevant consideration as to who should carry out the investigation and this may, on occasion, necessitate the appointment of an external investigator.

Following the initial contact with the LADO, the case officer will liaise with the LADO regarding the nature and context of the allegation in order to agree on the appropriate course of action. The LADO may seek additional information from the LA regarding previous history, for example whether a child or their family have made similar allegations and the individual's current contact with children in other roles. During such a process, the LADO may recommend the involvement of the police. Contact with the member of staff accused must be made as soon as reasonably practicable following consultation with the LADO.

In response to an allegation, the Trust Board will consider all alternative options before taking any decision to suspend a member of staff. Suspension will only be used where there is no reasonable alternative, and the Trust recognises its duty under the Employment Rights Act 1996 to act reasonably. Written confirmation of the reasons and expected review points will be provided. Any suspension will be kept under review. Reasonable alternatives may include the following; however, any decision will be based on assessment of risk and the school's ability to reasonably accommodate an alternative arrangement:

- Redeployment within the school/Trust so that the member of staff does not have direct contact with the child or children concerned.
- Providing an assistant to be present when the member of staff has contact with children.
- Redeploying to alternative work in the Trust so the member of staff does not have unsupervised access to children.
- Moving the child or children to classes where they will not come into contact with the member of staff, making clear this is not a punishment and parents have been consulted.
- Temporarily redeploying the member of staff to another role in a different location.

The individual is advised to contact their trade union representative, if they have one, or a colleague who can provide support.

Action will be taken in response to the allegation with due regard to the procedures identified within the Trust's disciplinary policy. The member of staff against which the allegation is made will be provided with details in writing of the action taken, including suspension if deemed appropriate, and setting out the reasons and justification for the decision.

No case to answer

The process of sharing information and its evaluation may lead the Trust, in consultation with the LADO, to the decision that there is no case to answer and therefore no further action will be taken against the member of staff facing the allegation or concern. In such circumstances, the decision and associated reasons will be recorded by the case officer and the LADO, who will agree on the content of a letter to the member of staff, setting out the relevant details. Details of the action to be taken with regard to the member of staff, for example appropriate support, and in respect of the individual/s

who made the initial allegation will also be discussed and agreed in accordance with the confidentiality provisions referred to below.

Where it is clear an allegation is unfounded or malicious, the school will aim to resolve the matter within one week.

The investigation process

Where the process of information sharing as specified above leads to a conclusion that there is a case to answer, an investigation process will be required.

The case officer will inform the member of staff regarding the allegations against them as soon as possible and following consultation with the LADO.

The member of staff will be provided with as much information as possible at that time. Advice should be sought from the LADO on what information the Trust is permitted to disclose to the member of staff, based on the agreement of any other agencies involved. Individuals should be given an explanation of the likely course of action, unless there is an objection by the children's social care services or the police.

If the allegation is 'not demonstrably false or unfounded', and the school has cause to suspect a child is suffering or is likely to suffer significant harm, the case officer, in consultation with the LADO will convene a 'strategy discussion' involving the appropriate agencies. Where an allegation concerns physical contact, the agencies will take into account the staff member's entitlement to use reasonable force to control or restrain pupils in certain circumstances.

Where it is clear that an investigation by the police or the children's social care services is unnecessary, or the strategy discussion (see above) agrees that is the case, the case officer will agree with the LADO the appropriate way forward. In most cases this will involve the application of the investigation process within the Trust's disciplinary procedures (please refer to the Trust's disciplinary policy for further details).

Keeping the member of staff informed and support

Employers have a duty of care to their employees. They should act to manage and minimise the stress inherent in the allegations process. Support for the individual is key to fulfilling this duty.

During the investigation, a process will be adopted to ensure that the member of staff who is the subject of the allegations is kept informed. In cases of suspension, this will include the provision of a named contact at the school/Trust, appointed by the case officer, in order to facilitate communication throughout the investigation. Contact with the suspended employee will take place according to the timescales to be set out at the start of the investigation and this must include relevant updates on work-related issues.

Similar arrangements will be made by the case officer to appoint a contact to liaise with a member of staff who has remained in the workplace during the investigation.

The named contact will keep the member of staff informed of progress in relation to the case and will monitor the support arrangements in place and recommend additional or alternative support as necessary.

The member of staff who is the subject of the allegations will not be prevented from having social contact with colleagues and friends, unless there is evidence to suggest that such contact may be prejudicial to the investigation process.

Support will be offered by way of occupational health or local welfare arrangements as appropriate.

Supporting parents and the child affected

Parents or carers of a child or children involved will be notified regarding the allegation as soon as possible where they are not already aware of the matter. However, due regard is given to the need for a strategy discussion as appropriate (please see above), or the agreed involvement of other agencies, because the level of disclosure of details must be in accordance with DFE statutory guidance.

Parents or carers will be kept informed, through an agreed named contact, regarding the progress of the investigation, any relevant decisions made regarding the process and, in confidence, the outcome where there is no criminal prosecution. The deliberations of a disciplinary hearing, and the information taken into account in reaching a decision, cannot normally be disclosed and careful consideration must be given to the relevant provisions of the Human Rights Act 1998, the Data Protection Act 2018 and the UK General Data Protection Regulation. All records will be processed in line with the UK GDPR (Article 6(1)(c) and Article 9(2)(b)), ensuring information is limited to what is necessary, accurate, securely stored, and retained no longer than necessary. Records of allegations must be retained for the period set out in KCSIE 2025: until the individual reaches normal pension age or 10 years from the date of the allegation, whichever is longer

The named contact will make parents and carers aware of the prohibition on reporting or publishing allegations about teachers in section 141F of the Education Act 2002. Should parents or carers wish to apply to the court for the removal of reporting restrictions, they will be advised to obtain legal advice.

In circumstances where it is believed that a child may have suffered significant harm, or there may be a criminal prosecution, the LADO will liaise with the appropriate agencies to consider what support the child or children involved may need. This need will also be highlighted to the LADO by the Trust's case officer.

Malicious allegations

Details of allegations that are investigated and found to be malicious or unsubstantiated will be removed from personnel records and no reference will be made to the matter in employer references.

Pupils who are found to have made malicious allegations will be considered in breach of the Trust's behaviour policy. This will be treated as a serious matter and the appropriate sanctions will be applied.

Confidentiality

The Trust will make every effort to maintain confidentiality and to guard against unwanted publicity during an investigation or while an allegation is considered. Legislation regarding reporting restrictions will be adhered to at all times, in particular the reporting restrictions under the Education Act 2002 preventing the publication of material that may lead to the accused teacher being identified until the accused is charged with an offence. The legislation imposing restrictions makes clear that 'publication' of material that may lead to the identification of the teacher who is the subject of the allegation is prohibited. 'Publication' includes 'any speech, writing, relevant programme or other communication in whatever form, which is addressed to the public at large or any section of the public'.

However, the reporting restrictions cease to apply if the individual to whom the restrictions apply effectively waives their right to anonymity by going public themselves or by giving their written consent for another to do so or if a judge lifts restrictions in response to a request to do so.

The case officer will take advice from the LADO and the police in order to agree who needs to be informed and what information can be shared. Advice will also be sought on appropriate sharing of information with the wider community in order to reduce speculation and the management of any press interest, breaches of confidentiality or gossip.

Resignation during the investigation

Should an employee resign during an investigation following an allegation, the Trust will continue with the investigation process and every effort will be made to reach a conclusion, even in circumstances where the employee refuses to co-operate. It is important that every effort is made to reach a conclusion in all cases of allegations bearing on the safety or welfare of children, including any in which the person concerned refuses to co-operate with the process. However, the employee will be given the opportunity to remain fully involved in the process and to answer the allegation against them.

Settlement agreements

Settlement agreements will not be used in circumstances where an allegation relates to abuse of a pupil. Such an agreement will not prevent the Trust from making a statutory referral to the disclosure and barring service (DBS) or the Teaching Regulation Agency (TRA) where this is required. It is a criminal offence not to make such a referral where the legal duty exists

Employer references

Should an allegation or allegations prove false, unsubstantiated, unfounded or malicious it/they will not be referred to in employer references. Additionally, the history of repeated concerns or allegations which have all been found to be unsubstantiated, malicious etc. should also not be included in any reference. In accordance with KCSIE 2025, schools must not agree to provide a false or misleading reference, and safeguarding information relevant to child protection concerns which meet the threshold for referral will be included.

Allegations leading to a criminal investigation or a prosecution

The police or the crown prosecution service (CPS) should inform the Trust and the LADO immediately when a criminal investigation and any subsequent trial is complete, if an investigation is closed without charge, or if there will be no prosecution after the person has been charged. In such circumstances, the case officer will discuss with the LADO whether any further action, including disciplinary action, is required and agreement will be reached as to how to proceed. Information received by the police and/or children's social care services will be relied upon in order to inform the decision.

Action on conclusion of a case

Should the allegation be substantiated and the employee is dismissed, or they resign, the LADO, the case officer and the Trust's Head of HR will discuss and agree whether to refer the case to the DBS for consideration of inclusion on the barred lists, or to refer the matter to the Teaching Agency.

The Trust has a legal duty to notify the DBS of relevant information, so that individuals who pose a threat to vulnerable groups can be identified and barred from working with these groups.

If the Trust dismisses a member of staff or volunteer because they have harmed a pupil, or because they pose a risk of harm to children or young people, the DBS will be informed. Professional misconduct cases should be referred to the relevant regulatory body and the referral will be made as soon as possible after the removal, or the resignation, of the member of staff involved.

Returning to work

Where a member of staff has been suspended and the conclusion of the investigation is that s/he can return to work, the case officer, in consultation with the Head Teacher, will consider how best to facilitate the return to work. A meeting will be arranged with the member of staff concerned to agree how best this can be achieved and to understand what support will assist them in returning to the workplace following this stressful experience.

NOTES FOR THE TRUST

General

Any instance of a pupil being abused by a member of staff is particularly serious. Equally, should an innocent person be subject to investigation, possible suspension and/or the threat of arrest, this is an extremely stressful and serious ordeal, which may lead to long term damage to the person's health and career. Any allegation must be fair and dealt with as quickly as possible.

The **LADO threshold** is met when an allegation involves a professional or volunteer working with children in any of the following ways:

1. **Behaved in a way that has harmed a child, or may have harmed a child**
2. **Possibly committed a criminal offence** against or related to a child
3. **Behaved towards a child in a manner that indicates they may pose a risk of harm** ("transferable risk")
4. **Behaved, or may have behaved, in a way that suggests they are not suitable to work with children**, including in their personal life

Resignation during investigation (see relevant section of policy)

It may be difficult to reach a conclusion in circumstances where a member of staff resigns during an investigation, and it may not be possible to apply disciplinary sanctions if their period of notice expires before completion of the process. Nevertheless, it is important to reach and record a conclusion wherever possible.

Determining the outcome of an investigation into an allegation (final paragraph)

The purpose of the record is to enable the provision of accurate information in response to any future request for an employer's reference. It may also be required where future DBS disclosures reveal information from the police about an allegation that did not result in a criminal conviction and it may help to prevent unnecessary re-investigation should a future allegation be made. The record should be retained at least until the individual has reached normal retirement age or for a period of 10 years from the date of the allegation if this is longer.

Suspension

Children's social care services or the police cannot require the Trust to suspend a member of staff or a volunteer, although the Trust should give appropriate consideration to their advice. The power to suspend is vested in the Trust. Police involvement does not make it mandatory to suspend a member of staff and decisions should be taken on a case-by-case basis and with due regard to assessment of risk.

Disclosure and barring service (DBS)

Reporting a matter to the DBS does not mean the person will be automatically barred from working with children and vulnerable adults. Responsibility for reporting an individual to the DBS extends to a situation where the person has chosen to cease their employment in circumstances where they would have been removed had they not done so.

An organisation is breaking the law if it knowingly employs someone who is barred.

Returning to work (see relevant section of policy)

Depending on the individual's circumstances, a phased return to work or the provision of a mentor to provide support may be helpful. The Trust should also consider any contact the member of staff may have with the child or children who made the allegation and how this can be best managed.

Monitoring

The Trust will undertake an annual safeguarding audit and lessons-learned review following any allegation case, in line with KCSIE 2025 expectations to establish whether improvements could be made to the procedures to help prevent similar events in the future, and to ensure future procedures are fit for purpose.

Unfounded or malicious allegations

Staff can be vulnerable to allegations of abuse and it is acknowledged that the allegations may be untrue. However, schools should remain aware that children and young people sometimes make allegations to draw attention to problems experienced elsewhere in their lives. If an allegation is determined to be unfounded or malicious, the LADO must refer the matter as appropriate in order to determine whether the child concerned is in need of services, or whether they have been abused by someone else. In such circumstances, the Trust is advised to discuss this matter with the LADO to ensure the appropriate action is taken. Staff will be signposted to the use of the Employee Assistance programme (EAP) or trade unions in addition to Occupational Health